Indicator 7:

Proportion of Entities With Repeated or Coincident Potential Compliance Violations

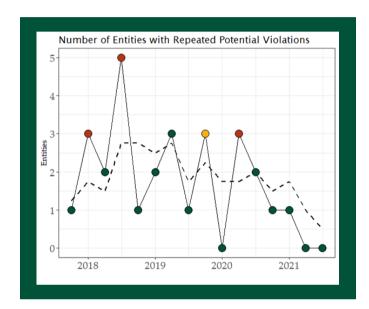


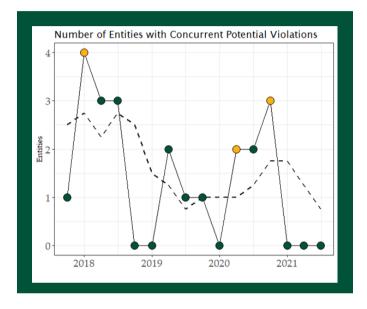
What it measures

Indicator 7 measures aspects of entity compliance behavior related to multiple potential violations.



Combined Indicator Performance History





How it is measured

Indicator 7 is based on two metrics:

- 1. Number of entities with repeat serious or moderate potential violations of the same standard and requirement within a five-year period.
- 2. Number of entities with three or more concurrent serious or moderate potential violations within a standard family issued during a given quarter.

Why this matters

Repeated or concurrent serious or moderate potential violations can be indicators of systemic or programmatic issues within the entity.

What does the Q3 2021 evaluation tell us?

The number of entities with repeated or concurrent violations was zero for Q3 of 2021. Consequently, this indicator is classified as "green." Systemic or programmatic violations currently do not pose a high reliability risk.

